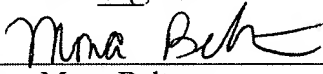




Patent Docket P176SR1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of</p> <p>P. Mickey Williams et al.</p> <p>Serial No.: 09/613,972</p> <p>Filed: July 11, 2000</p>	<p>Group Art Unit: 1642</p> <p>Examiner: K. Canella</p>
<p>For: PROMOTION OR INHIBITION OF ANGIOGENESIS AND CARDIOVASCULARIZATION BY TUMOR NECROSIS FACTOR LIGAND/RECEPTOR HOMOLOGS</p>	<p style="text-align: center;">CERTIFICATE OF EXPRESS MAILING</p> <p>Express Mail Number: <u>EL 889343346 US</u></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to "Assistant Commissioner of Patents, Washington, D.C. 20231."</p> <p style="text-align: right;">June 19, 2002  Mona Beltran</p>

**TRANSMITTAL LETTER**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

1. Petition to Withdraw Holding of Abandonment
2. Copy of Return Postcard mailed November 6, 2001.
3. Copy of Express Mail Label mailed November 6, 2001-EL 872100274 US
4. Response to Notice to Comply (copy as filed November 6, 2001)
5. Petition and Fee for Extension of Time (copy as filed November 6, 2001)
6. Sequence Listing & Diskette
7. Certificate re: Sequence Listing (copy as filed November 6, 2001)
8. Return Postcard

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: June 19, 2002

By: *Diane L. Marschang*  
Diane L. Marschang  
Reg. No. 35,600  
Telephone No. (650) 225-5416



09157

PATENT TRADEMARK OFFICE  
#114179



In re Application of: P. Mickey Williams et al.  
Serial No.: 09/613,972  
Filed On: July 11, 2000  
Mailed On: November 2001

Docket No.: P1765R1  
By: Diane L. Marschang  
Reg. No.: 35,600

The following has been received in the U.S. Patent Office on the date stamped

☒ Extension of Time Request (dup)  
☒ Response to Notice to Comply (dup)  
☒ Copy of Notice to Comply  
☒ Certificate re: Sequence Listing  
☒ Sequence Listing & Diskette  
☒ Fees: \$110  
☒ Certificate of Express Mailing  
☒ Express Mail Label No.: EL872100274US

01 NOV - 7 PM 12:37

RECEIVED  
OCT 16 00/2300



UNITED STATES POSTAL SERVICE®

**POST OFFICE  
TO ADDRESSEE**

EL 872100274 US

Customer Copy  
Label 11-F August 2000**ORIGIN (POSTAL USE ONLY)**

PO ZIP Code 55416	Day of Delivery <input checked="" type="checkbox"/> First <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In 11 6 01 Mo. Day Year	<input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$12.45
Time in 9:36 AM <input type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight lbs. 6.1 ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials GND	Total Postage & Fees \$12.45

**SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE**

☐ **WAIVER OF SIGNATURE** (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday Customer Signature

**CUSTOMER USE ONLY****METHOD OF PAYMENT:**

Express Mail Corporate Acct. No.

X 940899

Federal Agency Acct. No. or  
Postal Service Acct. No.

**FROM: (PLEASE PRINT)** PHONE ( )

GENENTECH INC  
1 DNA WAY  
LEGAL DEPARTMENT MS/47  
SOUTH SAN FRANCISCO CA 94060-4940

P1765R1

**TO: (PLEASE PRINT)** PHONE ( )

US Patent & Trademark Office  
Examiner K. Canella  
CM1, Tech Center 1600 7th Floor  
1911 South Clark Street  
Arlington, VA 22202

**FOR PICKUP OR TRACKING CALL 1-800-222-1811**[www.usps.com](http://www.usps.com)

Application No.: 09/613,971

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**